

**THE MERCHANT SHIPPING
(ACT NO. 21 OF 2003)**

REGULATIONS

Made under section 342 (8)

**THE MERCHANT SHIPPING (SHIP AND PORT FACILITY
SECURITY) REGULATIONS, 2004**

TABLE OF CONTENTS

Regulation

Title

Preliminary

1. Citation
2. Interpretation

PART I

**SPECIAL MEASURES TO IMPLEMENT MARITIME
SECURITY**

General

3. Application
4. Security measures : the Registrar of Ships
5. Security measures : *the National Security Agency*
6. Security measures : *responsibilities of National Maritime Security
Committee*

Ships

7. Requirements for companies
8. Ship security plans
9. Company security officer
10. Ship security officer
11. Requirements for ships
12. Ship security alert system
13. Threats to ships
14. Master's discretion for ship safety and security
15. Verification for ships
16. Issue, endorsement, duration and validity of certificate
17. Issue and endorsement of certificates by another Government
18. Certificates issued or endorsed on behalf of another Government

19. Interim certification
20. Prohibition on proceeding to sea without appropriate certificate

Control and compliance measures

21. Control of ships in port
22. Ships intending to enter a port
23. Additional control and compliance provisions

Port facilities

24. Port facilities : National Security Agency responsibilities
25. Port facilities : duties of port facility operators
26. Port facility security officers
27. Drills and exercises

Supplementary

28. Alternative security agreements
29. Equivalent security arrangements
30. Communication of information

Enforcement

31. Requirement to provide information
32. Powers of inspection
33. False statements relating to baggage, cargo etc.
34. False statements in connection with identity documents.
35. Unauthorised presence in restricted zone.
36. Offences relating to authorised persons.
37. Penalties, defences etc.

PART II

CONTINUOUS SYNOPSIS RECORD

38. Continuous Synopsis Record
39. Transfer of flag by ship
40. Offences and penalties

Preliminary

1. These Regulations may be cited as the Merchant Shipping (Ship and Port Facility Security) Regulations 2004.

Citation

2. (1) In these Regulations, unless the context otherwise requires –

Interpretation

“the Act” means the Merchant Shipping Act 2003;

“Administration” means the Maritime Authority of the State, other than the United Republic of Tanzania, whose flag the ship is entitled to fly;

“authorised person” means a person authorised in writing by or on behalf of the Registrar of Ships or the *National Security Agency* for the purposes of these Regulations;

“bulk carrier” means a ship which is constructed generally with single deck, top-side tanks and hopper side tanks in cargo spaces, and is intended primarily to carry dry cargo in bulk, and includes such types as ore carriers and combination carriers;

“certificate” means an International Ship Security Certificate or an Interim International Ship Security Certificate issued in accordance with Part A of the ISPS Code;

“chemical tanker” means a cargo ship constructed or adapted and used for the carriage in bulk of any liquid product listed in chapter 17 of the IBC Code;

“company” means the owner of the ship or any other organization or person such as the manager, or the bareboat charterer, who has assumed the responsibility for the operation of the ship from the owner of the ship and who on assuming such responsibility has agreed to take over all the duties and responsibilities imposed by the ISPS Code;

“company security officer” means the person designated by the Company for ensuring that a ship security assessment is carried out; that a ship security plan is developed, submitted for approval, and thereafter implemented and maintained, and for liaison with port facility security officers and the ship security officer

“Contracting Government” means the government of a State which is party to the International Convention for the Safety of Life at Sea;

“Declaration of Security” means an agreement reached between a ship and either a port facility or another ship with which it interfaces, specifying the security measures each will implement;

“Designated Authority” means, the organization(s) or the administration(s) identified, as responsible for ensuring the implementation of the provisions of Chapter XI-2 of the Safety Convention pertaining to port facility security and ship/port interface, from the point of view of the port facility and in the case of the United Republic of Tanzania means the

Maritime and Safety and Security Division of the Surface and Maritime Transport Regulatory Authority (SUMATRA);

“gas carrier” means a cargo ship constructed or adapted for the carriage in bulk of any liquefied gas or other liquid product in chapter 19 of the IGC Code;

“high speed craft” means a craft capable of a maximum speed in metres per second (m/s) equal to or exceeding:

$$3.7 \nabla^{0.1667}$$

where:

∇ = displacement corresponding to the design waterline (m³);

“Government” has the meaning given by section 2(1) of the Act;

“IBC Code” and “IGC Code” have the meanings given by section 85 of the Merchant Shipping (Marine Pollution) Act 2000;

“ISM Code” has the meaning given in regulation 2 of the Merchant Shipping (International Safety Management (ISM) Code) Regulations 2002;

“ISPS Code” or “Code” means the International Code for the Security of Ships and of Port Facilities, as adopted, on 12 December 2002, by resolution 2 of the Conference of Contracting Governments to the International Convention for the Safety of Life at Sea, 1974 and any amendments that may be in effect for the United Republic of Tanzania

“mobile offshore drilling unit” means a vessel capable of engaging drilling operations for the exploration for or the exploitation of resources beneath the sea-bed such as liquid or gaseous hydrocarbons, sulphur or salt, when not on location;

“*National Security Agency*” is the Designated Authority for the United Republic of Tanzania;

“oil tanker” has the meaning given in section 49 of the Merchant Shipping (Marine Pollution) Act 2000;

“organization” means

“*port facility operator*” means any person operating a port facility or such other person as may be designated for the purposes of this part as port facility operator for one or more port facilities by the National Security Agency;

“port facility” means a location, as determined in the United Republic of Tanzania by the *National Security Agency*, where the ship/port interface takes place. This includes areas such as anchorages, waiting berths and approaches from seaward, as appropriate;

“port facility security assessment” means an assessment of the security of a port facility (or more than one port facility) carried out in accordance with section 15 of Part A of the ISPS Code;

“port facility security officer” means the person designated as responsible for the development, implementation, revision and maintenance of the port facility security plan and for liaison with the ship security officers and company security officers;

“port facility security plan” means a plan developed to ensure the application of measures designed to protect the port facility and ships, persons, cargo, cargo transport units and ship’s stores within the port facility from the risks of a security incident;

“recognized security organization” means an organization with appropriate expertise in security matters and with appropriate knowledge of ship and port operations authorized to carry out an assessment, or a verification, or an approval or a certification activity, required by this chapter or by Part A of the ISPS Code;

“registrar of ships” means

“restricted zone” means a zone to which access is restricted for security reasons pursuant to these Regulations;

“security level” means the qualification of the degree of risk that a security incident will be attempted or will occur;

“security incident” means any suspicious act or circumstance threatening the security of a ship, including a mobile offshore drilling unit and a high speed craft, or of a port facility or of any ship/port interface or any ship to ship activity;

“ship/port interface” means the interactions that occur when a ship is directly and immediately affected by actions involving the movement of persons, goods or the provisions of port services to or from the ship;

“ship to ship” activity means any activity not related to a port facility that involves the transfer of goods or persons from one ship to another;

“tons” means gross tonnage.

(2) The term “all ships”, when used in Part I, means any ship to which the part applies.

(3) In these Regulations, any reference made to Part A of the ISPS Code, the guidance in Part B of the Code in relation to that matter shall be taken into account in construing Part A.

(4) For the purposes of these Regulations, references in Part A of the Code to the Administration shall in relation to the United Republic of Tanzania ships be references to the Registrar of Ships.

(5) For the purposes of Part I a person is permitted to have access to a restricted zone of a port facility if he is permitted to enter that zone or if arrangements exist for permitting any of his employees or agents to enter that zone.

PART I

SPECIAL MEASURES TO ENHANCE MARITIME SECURITY

General

Application

3. (1) Subject to sub-regulation (6), Part 1 of these Regulations applies to-

- (a) the following types of ships engaged on international voyages:
 - (i) passenger ships, including high-speed passenger craft;
 - (ii) cargo ships, including high-speed craft, of 500 tons or more; and
 - (iii) mobile offshore drilling units; and
- (b) port facilities serving such ships engaged on international voyages.

(2) This Part shall also apply to any port facility *specified in a Notice* issued by the *National Security Agency* which, although used primarily by ships not engaged on international voyages, is required, occasionally, to serve ships arriving or departing on international voyages.

(3) A Notice referred to in sub-regulation (2) shall not be issued without a port facility security assessment for that port facility having been done in accordance with section 15 of Part A of the ISPS Code. The Notice shall specify the extent of application of this Part and the relevant sections of Part A of the ISPS Code to the facility.

(4) Any Notice under sub-regulation (2) shall not compromise the level of security intended to be provided by this Part and Part A of the ISPS Code.

(5) Regulations 20, 21 and 22 also apply to a port facility not falling within sub-regulation (1) (b) or (2).

(6) This Part does not apply to –

- (a) warships;
- (b) naval auxiliaries; or
- (c) other ships owned or operated by Parties to the Safety Convention and used only on Government non-commercial service.

Security measures:
responsibilities of
Registrar of Ships

4. (1) Without prejudice to any other duties under these Regulations, the Registrar of Ships shall:

- (a) in accordance with section 4 of Part A of the ISPS Code set security levels and ensure the provision of security level information to the United Republic of Tanzania ships;
- (b) when changes in security level occur, update security level information as the circumstance dictates;

- (c) indicate when a Declaration of Security is required for a the United Republic of Tanzania ship;
- (d) approve the ship security plan and relevant amendments to a previously approved plan.

(2) The Registrar of Ships may delegate his responsibilities under this Part to a recognised security organisation, except the following:

- (i) setting of the applicable security level for ships;
- (ii) exercising control and compliance measures pursuant to regulations 20, 21 and 22;
- (iii) establishing the requirements for a Declaration of Security.

5. (1) Without prejudice to any other duties under this Part the National Security Agency shall:

Security measures:
responsibilities of
National Security
Agency

- (a) in accordance with section 4 of Part A of the ISPS Code set security levels and ensure the provision of security level information to port facilities within the United Republic of Tanzania, and to ships prior to entering a port or whilst in a port within the United Republic of Tanzania.
- (b) when changes in security level occur, update security level information as the circumstance dictates;
- (c) determine which of the port authorities located within their territory are required to designate a port facility security officer (PFSO) who will be responsible for the preparation of the port facility security plan;
- (d) approve the port facility security plan (PFSP) and any subsequent amendments to a previously approved plan.

(2) The *National Security Agency* may delegate to a recognised security organisation their duties under these Regulations, except:

- (i) setting of the applicable security level for port facilities or ship to ship interface in the United Republic of Tanzania territorial waters;
- (ii) approving a port facility security assessment and subsequent amendments to an approved assessment;
- (iii) determining the port facilities which will be required to designate a port facility security officer;
- (iv) approving a port facility security plan and subsequent amendments to an approved plan;
- (v) exercising control and compliance measures pursuant to regulations 20, 21 and 22; and
- (vi) establishing the requirements for a Declaration of Security.

Security measures:
responsibilities of
National Maritime
Security Committee

6. (1) The Minister shall establish a National Maritime Security Committee.

(2) Subject to sub-regulation (1) the National Maritime Security Committee shall be chaired by the Registrar of

Ships and shall consist of members from:-

- (a) Ministry of Defence - Navy;
- (b) Ministry Home Affairs - Police;
- (c) Ministry of Home Affairs - Immigration;
- (d) Ministry of Finance - Customs
- (e) Presidents Office – Intelligence Security Services
- (f) Dar es Salaam Maritime Institute

(3) The National Maritime Security Committee shall carry out the following duties:

- (a) give advice to national government and ministries on all maritime safety issues;
- (b) advise the Ministry of Communication and Transport on the appropriate Security Level; and
- (c) coordinate all security information regarding maritime activity.

Requirements for companies

7. (1) Companies shall comply with the relevant requirements of this Part and of Part A of the ISPS Code. In Particular, and without prejudice to any other duties under this Part the Company shall ensure that:

- (a) a company security officer is appointed, and is properly trained and qualified in his duties and responsibilities;
- (b) a ship security officer is appointed for each of its ships and is properly trained and qualified in his duties and responsibilities;
- (c) each ship has a ship security plan;
- (d) the master has available on board, at all times, information through which officers duly authorised by any State can establish:
 - (i) who is responsible for appointing the members of the crew or other persons currently employed or engaged on board the ship in any capacity on the business of that ship;
 - (ii) who is responsible for deciding the employment of the ship; and
 - (iii) in cases where the ship is employed under the terms of charter Party or Parties, who are the Parties to such charter Party or Parties.

(2) Any company which fails to comply with this regulation shall be guilty of an offence.

Ship security plans

8. (1) (a) Every ship security plan or amendment thereto shall be submitted to the Registrar of Ships, or to a recognised security organisation he authorises on his behalf, for approval in accordance with section 9 of Part A of the Code.

- (b) A recognised security organisation authorised under paragraph (a) shall not have been involved in the preparation of the ship security plan or the amendment in question.

(2) The Registrar of Ships shall determine which changes to an approved ship security plan or to any security equipment specified in an approved plan shall not be implemented unless the relevant amendments to the plan are approved by the Registrar of Ships. Any such changes shall be at least as effective as those measures prescribed in this Part and in Part A of the Code.

(3) Any company which does not comply with sub-regulation (1), or otherwise fails to comply with section 9 of Part A of the Code, shall be guilty of an offence.

9. (1) The company security officer shall perform the responsibilities and duties specified in this Part and Part A of the Code, in particular those listed in paragraph 11.2 of Part A.

Company security officer

(2) Any contravention of this regulation by the company security officer shall be an offence.

10. (1) The ship security officer shall perform the responsibilities and duties specified in this Part and Part A of the Code, in Particular those listed in paragraph 12.2 of Part A.

Ship security officer

(2) Any contravention of this regulation by the ship security officer shall be an offence

11. (1) Ships shall comply with the relevant requirements of this Part and of Part A of the ISPS Code.

Requirements for ships

(2) Prior to entering a port or whilst in a port within the territory of any other State, a ship shall comply with the requirements for the security level set by that State, if such security level is higher than the security level set by the Registrar of Ships for that ship.

(3) Ships shall respond without undue delay to any change to a higher security level.

(4) Where a ship is not in compliance with the requirements of this Part or of Part A of the ISPS Code, or cannot comply with the requirements of the security level set by the Registrar of Ships or by another Contracting Government and applicable to that ship, then the ship shall notify the appropriate competent authority prior to conducting any ship/port interface or prior to entry into port, whichever occurs earlier.

(5) Where there is a breach of sub-regulations (1) to (4) in relation to a ship then the company and the master shall each be guilty of an offence.

12. (1) All ships shall be provided with a ship security alert system, as follows:

Ship security alert system

(a) ships constructed on or after 1 July 2004;

- (b) passenger ships, including high-speed passenger craft, constructed before 1 July 2004, not later than the first survey of the radio installation after 1 July 2004;
 - (c) oil tankers, chemical tankers, gas carriers, bulk carriers and cargo high speed craft, of 500 tons and upwards constructed before 1 July 2004, not later than the first survey of the radio installation after 1 July 2004; and
 - (d) other cargo ships of 500 tons and upward and mobile offshore drilling units constructed before 1 July 2004, not later than the first survey of the radio installation after 1 July 2006.
- (2) The ship security alert system, when activated, shall:
- (a) initiate and transmit a ship-to-shore security alert to a competent authority designated by the Registrar of Ships, which in these circumstances may include the Company, identifying the ship, its location and indicating that the security of the ship is under threat or it has been compromised;
 - (b) not send the ship security alert to any other ships;
 - (c) not raise any alarm on-board the ship; and
 - (d) continue the ship security alert until deactivated and/or reset.
- (3) The ship security alert system shall:
- (a) be capable of being activated from the navigation bridge and in at least one other location; and
 - (b) conform to performance standards not inferior to those adopted by the Organization.
- (4) The ship security alert system activation points shall be designed so as to prevent the inadvertent initiation of the ship security alert.
- (5) The requirement for a ship security alert system may be complied with by using the radio installation fitted for compliance with the requirements of the Merchant Shipping (Radio Installations) Regulations 2001, provided all requirements of this regulation are complied with.
- (6) When the Registrar of Ships receives notification of a ship security alert relating to a ship entitled to fly the flag of the United Republic of Tanzania he shall immediately notify the State(s) in the vicinity of which the ship is presently operating.
- (7) When the *National Security Agency* receives notification of a ship security alert from a ship which is not entitled to fly the flag of the United Republic of Tanzania, it shall immediately notify the relevant Administration and, if appropriate, the State(s) in the vicinity of which the ship is presently operating.
- (8) Any contravention of sub-regulations (1) to (4) shall be an offence by the company and the master.

Threats to ships

13. (1) The *National Security Agency* shall set security levels and ensure the provision of security level information to ships operating in the territorial

sea of the United Republic of Tanzania or having communicated an intention to enter the territorial sea.

(2) The *National Security Agency* shall provide a point of contact through which such ships can request advice or assistance and to which such ships can report any security concerns about other ships, movements or communications.

(3) Where a risk of attack has been identified, the *National Security Agency* shall advise the ships concerned and their Administrations of:

- (a) the current security level;
- (b) any security measures that should be put in place by the ships concerned to protect themselves from attack, in accordance with the provisions of Part A of the ISPS Code; and
- (c) security measures that the *National Security Agency* put in place, as appropriate

14. (1) (a) The master of a the United Republic of Tanzania ship shall not be constrained by the Company, the charterer or any other person from taking or executing any decision which, in the professional judgement of the master, is necessary to maintain the safety and security of the ship.

Master's discretion for ship safety and security

(b) This includes denial of access to persons (except those identified as duly authorized by a Contracting Government) or their effects and refusal to load cargo, including containers or other closed cargo transport units.

(2) (a) Where, in the professional judgement of the master, a conflict between any safety and security requirements applicable to the ship arises during its operations, the master shall give effect to those requirements necessary to maintain the safety of the ship.

(b) In such cases, the master may implement temporary security measures and shall forthwith inform the *Registrar of Ships* and, if appropriate, the Contracting Government in whose port the ship is operating or intends to enter.

(c) Any such temporary security measures under this regulation shall, to the highest possible degree, be commensurate with the prevailing security level. When such cases are identified, the Registrar of Ships shall ensure that such conflicts are resolved and that the possibility of recurrence is minimised.

15. (1) All the United Republic of Tanzania ships to which this Part applies shall be subject to initial, renewal and intermediate verifications in accordance with paragraph 19.1.1 of Part A of the ISPS Code.

Verification for ships

(2) The verification of ships shall be carried out by an officer authorised by the Registrar of Ships, or, if he entrusts it, by a recognised security organisation.

(3) The security system and any associated security equipment of the ship after verification shall be maintained to conform with the provisions of regulations 6 and 11, of Part A of the ISPS Code and of the approved ship security plan. After any verification under sub-regulation (1), no changes shall be made in the security system and in any associated security equipment or the approved ship security plan without the sanction of the Registrar of Ships.

(4) Any contravention of sub-regulation (1) or (3) shall be an offence by both the company and master.

Issue, endorsement, duration and validity of certificate

16. (1) When an initial or renewal verification is satisfactorily completed pursuant to regulation 14 the Registrar of Ships or a recognised security organisation acting on his behalf shall issue or, as the case may be, endorse an International Ship Security Certificate.

(2) The International Ship Security Certificate shall be drawn up in a form corresponding to the Merchant given in the appendix to Part A of the Code.

(3) The duration and validity of an International Ship Security Certificate shall be in accordance with section 19.3 of Part A of the Code.

Issue and endorsement of certificates by another Government

17. (1) The Registrar of Ships may request another Contracting Government to verify the ship and, if satisfied that the provisions of section 19.1.1 of the Code are complied with, to issue or authorize the issue of an International Ship Security Certificate to the ship and, where appropriate, endorse or authorize the endorsement of that Certificate on the ship, in accordance with the Code.

(2) A Certificate so issued shall contain a statement to the effect that it has been issued at the request of the Registrar of Ships and it shall have the same effect as if it was issued by the Registrar of Ships.

Certificates issued or endorsed on behalf of another Government

18. (1) The Registrar of Ships may at the request of another Contracting Government verify the ship and, if satisfied that the provisions of section 19.1.1 of the Code are complied with, issue or authorize the issue of an International Ship Security Certificate to the ship and, where appropriate, endorse or authorize the endorsement of that Certificate on the ship, in accordance with the Code.

(2) A Certificate so issued shall contain a statement to the effect that it has been issued at the request of that Government and it shall have the same effect as if a Certificate was issued or endorsed by that Government and not by the Registrar of Ships.

Interim certification

19. (1) After 1 July 2004, for the purposes of:

- (a) a ship without a Certificate, on delivery or prior to its entry or re-entry into service;
- (b) transfer of a ship from the flag of another Government to the United Republic of Tanzania register

- (c) a Company assuming the responsibility for the operation of a ship not previously operated by that Company

until the Certificate referred to in regulation 15(1), 16(1) or 17(1) is issued, the Registrar of Ships may cause an Interim International Ship Security Certificate to be issued, in a form corresponding to the Merchant given in the appendix to Part A of the Code.

(2) An Interim International Ship Security Certificate shall only be issued if the Registrar of Ships or a recognised security organisation on his behalf is satisfied that the conditions specified in section 19.4.2.1 to section 19.4.2.7 of Part A of the Code are met.

(3) An Interim International Ship Security Certificate may be issued by the Registrar of Ships or by a recognized security organization authorized to act on his behalf.

(4) An Interim International Ship Security Certificate shall be valid for 6 months, or until the Certificate required by regulation 15(1), 16(1) or 17(1) is issued, whichever comes first, and may not be extended.

(5) No subsequent, consecutive Interim International Ship Security Certificate shall be issued to a ship if, in the judgement of the Registrar of Ships or the recognized security organization, one of the purposes of the ship or a Company in requesting such certificate is to avoid full compliance with this Part beyond the period of the initial Interim Certificate as specified sub-regulation (1).

(6) For the purposes of regulations 20, 21 and 22 the National Security Agency may, prior to accepting an Interim International Ship Security Certificate as a valid Certificate, ensure that the requirements of sections 19.4.2.4 to 19.4.2.6 of Part A of the ISPS Code have been met.

20. (1) No the United Republic of Tanzania ship required to be verified under this Part shall proceed, or attempt to proceed to sea, unless there is in force a valid International Ship Security Certificate or an Interim International Ship Security Certificate.

Prohibition on proceeding to sea without an appropriate certificate

(2) Where a ship proceeds, or attempts to proceed to sea in contravention of sub-regulation (1) the company and the master shall be guilty of an offence.

Control and compliance measures

21. (1) (a) For the purpose of these Regulations, every ship to which this Part applies is subject to control when in a port in the United Republic of Tanzania by officers duly authorised by the *National Security Agency* (who may be officers appointed under section 357 of the Merchant Shipping Act 2003).

Control of ships in port

- (b) Such control shall be limited to verifying that there is onboard a valid Certificate, which, if valid, shall be accepted, unless there are clear grounds for believing that the ship is not in compliance with the requirements of these regulations or Part A of the ISPS Code.

(2) When there are such clear grounds, or where no valid Certificate is produced when required, the duly authorized officers shall impose any one or more control measures in relation to that ship as provided in sub-regulation (3). Any such measures imposed must be proportionate, taking into account the guidance given in Part B of the ISPS Code.

- (3) (a) Such control measures are as follows:
 - (i) inspection of the ship,
 - (ii) delaying the ship,
 - (iii) detention of the ship,
 - (iv) restriction of operations including movement within the port, or
 - (v) expulsion of the ship from port.
- (b) Such control measures may additionally or alternatively include other lesser administrative or corrective measures.

Ships intending to enter a port

22. (1) The *National Security Agency* may require that ships intending to enter ports in the United Republic of Tanzania provide the following information to duly authorized officers to ensure compliance with this Part prior to entry into port with the aim of avoiding the need to impose control measures or steps:

- (a) that the ship possesses a valid Certificate and the name of its issuing authority;
- (b) the security level at which the ship is currently operating;
- (c) the security level at which the ship operated in any previous port where it has conducted a ship/port interface within the timeframe specified in sub-regulation (3);
- (d) any special or additional security measures that were taken by the ship in any previous port where it has conducted a ship/port interface within the timeframe specified in sub-regulation (3);
- (e) that the appropriate ship security procedures were maintained during any ship to ship activity within the timeframe specified in sub-regulation (3); or
- (f) other practical security related information (but not details of the ship security plan), taking into account the guidance given in Part B of the ISPS Code.

Where requested by the *National Security Agency*, the ship or the Company shall provide confirmation, acceptable to it, of the information required above.

(2) Every the United Republic of Tanzania ship to which this Part applies intending to enter the port of another Contracting Government shall provide the information described in sub-regulation (1) on the request of the

officers duly authorized by that Government. The master may decline to provide such information on the understanding that failure to do so may result in denial of entry into port.

(3) The ship shall keep records of the information referred to in sub-regulation (2) for the last 10 calls at port facilities.

(4) (a) Where, after receipt of the information described in sub-regulation (1), officers duly authorised by the *National Security Agency* have clear grounds for believing that the ship is not in compliance with the requirements of this Part or Part A of the ISPS Code, such officers shall attempt to establish communication with and between the ship and its Administration in order to rectify the non-compliance.

(b) Where such communication does not result in rectification, or if such officers have clear grounds otherwise for believing that the ship is not in compliance with the requirements of this Part or Part A of the ISPS Code, such officers may take steps in relation to that ship as provided in sub-regulation (5). Any such steps taken must be proportionate, taking into account the guidance given in Part B of the ISPS Code.

(5) Such steps are as follows:

- (a) a requirement for the rectification of the non-compliance;
- (b) a requirement that the ship proceed to a location specified in the territorial sea or internal waters of the United Republic of Tanzania;
- (c) inspection of the ship, if the ship is in the territorial sea of the United Republic of Tanzania; or
- (d) denial of entry into port.

Prior to initiating any such steps, the *National Security Agency* shall inform the ship of its intentions. Upon receipt of this information the master may withdraw the intention to enter that port. In such cases, this regulation shall not apply.

23. (1) In the event:

- (a) of the imposition of a control measure, other than a lesser administrative or corrective measure, referred to in regulation 20(3); or
- (b) any of the steps referred to in regulation 21(5) are taken,

Additional control
and compliance
provisions

an officer duly authorized by the *National Security Agency* shall forthwith inform in writing the Administration specifying which control measures have been imposed or steps taken and the reasons thereof. He shall also notify the recognized security organization, which issued the Certificate relating to the ship concerned and the Organization when any such control measures have been imposed or steps taken.

(2) When entry into port is denied or the ship is expelled from a port the United Republic of Tanzania, the *National Security Agency* shall

communicate the appropriate facts to the authorities of the State of the next appropriate ports of call, when known, and any other appropriate coastal States, taking into account any guidelines developed by the Organization. Confidentiality and security of such notification shall be ensured.

(3) Denial of entry into port, pursuant to regulation 21(4) and (5), or expulsion from port, pursuant to regulation 20(1) to (3), shall only be imposed where the duly authorised officers have clear grounds to believe that the ship poses an immediate threat to the security or safety of persons, or of ships or other property and there are no other appropriate means for removing that threat.

(4) The control measures referred to in regulation 20(1) and the steps referred to in regulation 21(5) shall only be imposed, pursuant to regulations 20 and 21, until the non-compliance giving rise to the control measures or steps has been corrected to the satisfaction of National Security Agency, taking into account actions proposed by the ship or the Administration or the Registrar of Ships, if any.

(2) When control is exercised under regulation 20 or steps taken under regulation 21:

- (a) all possible efforts shall be made to avoid a ship being unduly detained or delayed. If a ship is thereby unduly detained, or delayed, it shall be entitled to compensation for any loss or damage suffered; and
- (b) necessary access to the ship shall not be prevented for emergency or humanitarian reasons and for security purposes.

Port facilities

Port facilities:
National Security
Agency
responsibilities

24. (1) The *National Security Agency* shall ensure that:

- (a) port facility security assessments are carried out, reviewed and approved in accordance with the provisions of Part A of the ISPS Code; and
- (b) port facility security plans are developed, reviewed, approved and implemented in accordance with the provisions of Part A of the ISPS Code.

(2) The *National Security Agency* shall designate and communicate to the port facility operator and the port facility security officer the measures required to be addressed in a port facility security plan for the various security levels, including when the submission of a Declaration of Security will be required.

Port facilities: duties
of port facility
operator

25. (1) The *port facility operator* shall ensure that port facilities shall comply with the relevant requirements of this Part and Part A of the ISPS Code.

- (2) (a) In Particular the *port facility operator* shall appoint a suitably qualified port facility security officer, and ensure that he receives appropriate training, as specified in section 18.1 of Part A of the Code.
 - (b) The *port facility operator* shall provide the port facility security officer with the resources, assistance and support necessary to enable him to carry out his duties.
 - (3) The *port facility operator* shall ensure that port facility personnel having specific security duties have appropriate knowledge and receive appropriate training as specified in section 18.2 of Part A of the Code.
 - (4) The *port facility operator* shall ensure that other port facility personnel have appropriate knowledge as specified in section 18.3 of Part A of the Code.
 - (5) Any *port facility operator* which fails to comply with this regulation shall be guilty of an offence.
26. (1) A port facility security officer shall carry out the duties and responsibilities placed on him by this Part and Part A of the Code, in Particular those listed in section 17.2 of Part A of the Code. Port facility security officers
- (2) Any port facility security officer who fails to comply with this regulation shall be guilty of an offence.
27. (1) To ensure the effective implementation of the port facility security plan, drills shall be carried out at appropriate intervals, taking into account the types of operation of the port facility, port facility personnel changes, the type of ship the port facility is serving and other relevant circumstances, taking into account guidance given in Part B of the Code. Drills and exercises
- (2) The port facility security officer shall ensure the effective co-ordination and implementation of the port facility security plan by Participating in exercises at appropriate intervals, taking into account the guidance given in Part B of this Code.
- (3) Any contravention of sub-regulation (1) shall be an offence by the *port facility operator* and the port facility security officer.
- (4) Any contravention of sub-regulation (2) shall be an offence by the port facility security officer.

Supplementary

28. (1) The *National Security Agency* may, when implementing this Part and Part A of the ISPS Code, conclude in writing bilateral or multilateral agreements with other Contracting Governments on alternative security Alternative security arrangements

arrangements covering short international voyages on fixed routes between port facilities located within their territories.

(2) Any such agreement shall not compromise the level of security of other ships or of port facilities not covered by the agreement.

(3) No ship covered by such an agreement shall conduct any ship-to-ship activities with any ship not covered by the agreement.

(4) Such agreements shall be reviewed periodically, taking into account the experience gained as well as any changes in the Particular circumstances or the assessed threats to the security of the ships, the port facilities or the routes covered by the agreement.

Equivalent security arrangements

29. (1) The Registrar of Ships may allow a particular ship or a group of Tanzanian ships to implement other security measures equivalent to those prescribed in this Part or in Part A of the ISPS Code, provided such security measures are at least as effective as those prescribed in this chapter or Part A of the ISPS Code. The Registrar of Ships, where he allows such security measures, shall communicate to the Organization Particulars thereof.

(2) (a) When implementing this Part and Part A of the ISPS Code, the *National Security Agency* may allow a particular port facility or a group of port facilities located within its territory, other than those covered by an agreement concluded under regulation 27, to implement security measures equivalent to those prescribed in this Part or in Part A of the ISPS Code, provided such security measures are at least as effective as those prescribed in this Part or Part A of the ISPS Code.

(b) The *National Security Agency*, where it allows such security measures, shall communicate to the Organization particulars thereof.

Communication of information

30. (1) The Government shall, not later than 1 July 2004 communicate to the Organization and shall make available for the information of Companies and ships:

- (a) the names and contact details of their national authority or authorities responsible for ship and port facility security;
- (b) the locations within their territory covered by the approved port facility security plans;
- (c) the names and contact details of those who have been designated to be available at all times to receive and act upon the ship-to-shore security alerts, referred to in regulation 11(2)(a);
- (d) the names and contact details of those who have been designated to be available at all times to receive and act upon any communications from Contracting Governments exercising control and compliance measures; and
- (e) the names and contact details of those who have been designated to be available at all times to provide advice or

assistance to ships and to whom ships can report any security concerns, referred to in regulation 8(2);

and thereafter update such information as and when changes relating thereto occur.

(2) The Government shall, not later than the date referred to in sub-regulation (1), communicate to the Organization the names and contact details of any recognized security organizations authorized to act on its behalf together with details of the specific responsibility and conditions of authority delegated to such organizations. Such information shall be updated as and when changes relating thereto occur.

(3) The *National Security Agency* shall, not later than the date referred to in sub-regulation (1), communicate to the Organization a list showing the approved port facility security plans for the port facilities located within their territory together with the location or locations covered by each approved port facility security plan and the corresponding date of approval and thereafter shall further communicate when any of the following changes take place:

- (a) changes in the location or locations covered by an approved port facility security plan are to be introduced or have been introduced. In such cases the information to be communicated shall indicate the changes in the location or locations covered by the plan and the date as of which such changes are to be introduced or were implemented;
- (b) an approved port facility security plan, previously included in the list submitted to the Organization, is to be withdrawn or has been withdrawn. In such cases, the information to be communicated shall indicate the date on which the withdrawal will take effect or was implemented. In these cases, the communication shall be made to the Organization as soon as is practically possible; and
- (c) additions are to be made to the list of approved port facility security plans.

In such cases, the information to be communicated shall indicate the location or locations covered by the plan and the date of approval.

(4) The Government shall, at five year intervals after 1 July 2004, communicate to the Organization a revised and updated list showing all the approved port facility security plans for the port facilities located within their territory together with the location or locations covered by each approved port facility security plan and the corresponding date of approval (and the date of approval of any amendments thereto) which will supersede and replace all information communicated to the Organization, pursuant to sub-regulation (3), during the preceding five years.

(5) *The National Security Agency* shall communicate to the Organization information that an agreement under regulation 27 has been concluded. The information communicated shall include:

- (a) the names of the Governments which have concluded the agreement;
- (b) the port facilities and the fixed routes covered by the agreement;
- (c) the periodicity of review of the agreement;
- (d) the date of entry into force of the agreement; and
- (e) information on any consultations which have taken place with other Governments;

and thereafter shall communicate, as soon as practically possible, to the Organization information when the agreement has been amended or has ended.

(6) Where the Registrar of Ships allows, under the provisions of regulation 28, any equivalent security arrangements with respect to a ship entitled to fly its flag or with respect to a port facility located within its territory, he shall communicate to the Organization particulars thereof.

Enforcement

Requirement to
provide information

31. Without prejudice to regulation 21, but subject to section 9.8 and 9.9 of Part A of the ISPS Code (ship security plans not subject to inspection except in limited circumstances) the *National Security Agency* may, by notice in writing served on any of the following persons—

- (a) the owner, charterer, manager or master of any ship which is in, or appears to the *National Security Agency* to be likely to enter, a port facility,
- (b) a port facility operator,
- (c) any person who carries on operations in a port facility, and
- (d) any person who is permitted to have access to a restricted zone of a port facility for the purposes of the activities of a business carried on by him,

require that person to provide the *National Security Agency* with such information specified in the notice as the *National Security Agency* may require in connection with the exercise by the *National Security Agency* of its functions under this Part.

(2) A notice under sub-regulation (1) shall specify a date before which the information required by the notice is to be furnished to the *National Security Agency*.

(3) Any such notice may also require the person on whom it is served, after he has furnished to the *National Security Agency* the information required by the notice, to inform the *National Security Agency* if at any time the information previously furnished to, the *National Security Agency* (including any information furnished in pursuance of a requirement imposed by virtue of this sub-regulation) is rendered inaccurate by any change of circumstances (including the taking of any further measures for purposes of this Part or the alteration or discontinuance of any measures already being taken).

(4) In so far as such a notice requires further information to be furnished to the *National Security Agency* in accordance with sub-regulation (3), it shall require that information to be furnished to it before the end of such period as is specified in the notice for the purposes of this sub-regulation.

(5) A notice served on a person under sub-regulation (1) may at any time—

- (a) be revoked by a notice in writing served on him by the *National Security Agency*, or
- (b) be varied by a further notice under sub-regulation (1).

(6) The Registrar of Ships may in like manner require the owner, charterer, manager or master of any Tanzanian ship to provide him with information, and sub-regulations (1) to (5) shall apply as if references to the *National Security Agency* were references to the Registrar of Ships.

(7) Any person who—

- (a) without reasonable excuse, fails to comply with a requirement imposed on him by a notice under this regulation, or
- (b) in furnishing any information so required, makes a statement which he knows to be false in a material particular, or recklessly makes a statement which is false in a material particular, shall be guilty of an offence.

32. (1) An authorised person shall have power, on production (if required) of his credentials, to inspect— Powers of inspection

- (a) any *Tanzanian* ship,
- (b) any other ship while in a port facility,
- (c) any part of any port facility, or
- (d) any land outside a port facility which is occupied for the purposes of a business by a person who—
 - (i) carries on (or appears to the authorised person to be about to carry on) harbour operations in a port facility for the purposes of that business, or
 - (ii) is permitted (or appears to the authorised person to be about to be permitted) to have access to a restricted zone of a port facility for the purposes of the activities of that business.

(2) An authorised person inspecting a ship or any part of a port facility or any land outside a port facility under sub-regulation (1) above shall have power—

- (a) to subject any property found by him on the ship or, as the case may be, to subject that part of the port facility or any property found by him there or on that land, to such tests,
- (b) to take such steps—
 - (i) to ascertain what practices or procedures are being followed in relation to security, or

- (ii) to test the effectiveness of any practice or procedure relating to security, or
- (c) to require the owner, charterer, manager or master of the ship, the port facility operator or the occupier of the land to furnish to him such information,

as the authorised person may consider necessary for the purpose for which the inspection is carried out.

(3) Subject to sub-regulation (4), an authorised person, for the purpose of exercising any power conferred on him by sub-regulation (1) or (2) in relation to a ship, in relation to a port facility or in relation to any land outside a port facility, shall have power—

- (a) for the purpose of inspecting a ship, to go on board it and to take all such steps as are necessary to ensure that it is not moved, or
- (b) for the purpose of inspecting any part of a port facility, to enter any building or works in the port facility or enter upon any land in the port facility, or
- (c) for the purpose of inspecting any land outside a port facility, to enter upon the land and to enter any building or works on the land.

(4) The powers conferred by sub-regulation (3) shall not include power for an authorised person to use force for the purpose of going on board any ship, entering any building or works or entering upon any land.

(5) Any person who—

- (a) without reasonable excuse, fails to comply with a requirement imposed on him under sub-regulation (2)(c), or
- (b) in furnishing any information so required, makes a statement which he knows to be false in a material particular, or recklessly makes a statement which is false in a material particular,

shall be guilty of an offence.

False statements relating to baggage, cargo.

33. (1) A person shall be guilty of an offence if, in answer to a question which—

- (a) relates to any baggage, cargo or stores (whether belonging to him or to another) that is or are intended for carriage by sea—
 - (i) by a *Tanzanian* ship, or
 - (ii) by any other ship to or from *the United Republic of Tanzania*, and
- (b) is put to him for purposes of this part-
 - (i) by any of the persons mentioned in sub-regulation (2),
 - (ii) by any employee or agent of such a person in his capacity as employee or agent, or

(iii) by a constable,

he makes a statement which he knows to be false in a material particular, or recklessly makes a statement which is false in a material particular.

- (2) The persons referred to in sub-regulation (1)(b) are—
- (a) a port facility operator,
 - (b) the owner, charterer or manager of any ship, and
 - (c) any person who—
 - (i) is permitted to have access to a restricted zone of a port facility for the purposes of the activities of a business carried on by him, and
 - (ii) has control in that restricted zone over the baggage, cargo or stores to which the question relates.
- (3) In this Regulation—
- "cargo" includes mail;
- "ship" does not include a ship used in naval, customs or police service; and
- "stores" means any goods intended for sale or use in a ship, including fuel and spare parts and other articles of equipment, whether or not for immediate fitting.

False statements in connection with identity documents

34. (1) A person shall be guilty of an offence if—
- (a) for the purpose of, or in connection with, an application made by him or another for the issue of an identity document to which this sub-regulation applies, or
 - (b) in connection with the continued holding by him or another of any such document which has already been issued,

he makes to any of the persons specified in sub-regulation (3), to any employee or agent of such a person or to a constable, a statement which he knows to be false in a material particular, or recklessly makes to any of those persons, to any such employee or agent or to a constable, a statement which is false in a material particular.

- (2) Sub-regulation (1) applies to any identity document which is to be or has been issued by any of the persons specified in sub-regulation (3) for the purposes of a ship security plan or a port facility security plan.
- (3) The persons referred to in sub-regulation (1) are—
- (a) a port facility operator,
 - (b) the owner, charterer or manager of any ship, and
 - (c) any person who is permitted to have access to a restricted zone of a port facility for the purposes of the activities of a business carried on by him.

Unauthorised presence in restricted zones

35. (1) A person shall not—

- (a) go, with or without a vehicle or vessel, onto or into any part of a restricted zone of a port facility except with the permission of the port facility operator or a person acting on behalf of the port facility operator and in accordance with any conditions subject to which that permission is for the time being granted, or
- (b) remain in any part of such a restricted zone after being requested to leave by the port facility operator or a person acting on behalf of the port facility operator.

(2) Sub-regulation (1)(a) does not apply unless it is proved that, at the material time, notices stating that the area concerned was a restricted zone were posted so as to be readily seen and read by persons entering the restricted zone.

(3) A person who contravenes sub-regulation (1) shall be guilty of an offence.

Offences relating to authorised persons

36. A person who—

- (a) intentionally obstructs an authorised person acting in the exercise of a power conferred on him by or under these Regulations, or
- (b) falsely pretends to be an authorised person,

shall be guilty of an offence.

Penalties, defences

37. (1) A person guilty of an offence under this part is liable –

- (a) on summary conviction, to a fine not exceeding the equivalent in Tanzanian shillings of the United States dollars twenty thousands ;
- (b) on conviction on indictment, to a fine or to imprisonment for a term not exceeding two years or to both.

(2) It shall be a defence for a person charged under sub-regulation (1) to show that he took all reasonable precautions and exercised all due diligence to avoid the commission of the offence.

(3) Where an offence under this section is committed, or would have been committed save for the operation of sub-regulation (2), by any person due to the act or default of some other person, that other person is guilty of the offence, and a person may be charged with and convicted of an offence by virtue of this sub-regulation whether or not proceedings are taken against the first mentioned person.

PART II

CONTINUOUS SYNOPSIS RECORD

38. (1) This regulation applies to all ships engaged on international voyages, except-

Continuous Synopsis
Record

- (a) ships of war and troop ships;
 - (b) cargo ships of less than 500 tons;
 - (c) ships not propelled by mechanical means;
 - (d) wooden ships of primitive build;
 - (e) pleasure vessels not engaged in trade; and
 - (f) fishing vessels.
- (2) (a) All ships shall be provided with a Continuous Synopsis Record for the purpose of providing an on-board record of the history of the ship with respect to the information recorded therein.
- (b) For ships constructed before 1 July 2004, the Continuous Synopsis Record shall, at least, provide the history of the ship as from 1 July 2004.

(3) The Continuous Synopsis Record shall be issued by the Registrar of Ships to each Tanzanian ship and it shall contain at least, the following information:

- (a) the name of the country;
- (b) the date on which the ship was registered with the United Republic of Tanzania;
- (c) the ship's identification number in accordance with the Merchant Shipping (Registration) Regulations 2004 as amended;
- (d) the name of the ship;
- (e) the port at which the ship is registered;
- (f) the name of the registered owner(s) and their registered address(es);
- (g) the name of the registered bareboat charterer(s) and their registered address(es), if applicable;
- (h) the name of the Company, its registered address and the address(es) from where it carries out the safety management activities;
- (i) the name of all classification society(ies) with which the ship is classed;
- (j) the name of the Registrar of Ships or of the Contracting Government or of the recognized organization which has issued the Document of Compliance (or the Interim Document of Compliance), specified in the ISM Code, to the Company operating the ship and the name of the body which has carried out the audit on the basis of which the document was issued, if other than that issuing the document;
- (k) the name of the Registrar of Ships or of the Contracting Government or of the recognized organization that has issued the Safety Management Certificate (or the Interim Safety

Management Certificate), specified in the ISM Code, , to the ship and the name of the body which has carried out the audit on the basis of which the certificate was issued, if other than that issuing the certificate;

- (l) the name of the Registrar of Ships or of the Contracting Government or of the recognized security organisation that has issued the International Ship Security Certificate (or an Interim International Ship Security Certificate), specified in Part A of the ISPS Code, to the ship and the name of the body which has carried out the verification on the basis of which the certificate was issued, if other than that issuing the certificate; and
- (m) the date on which the ship ceased to be registered in the United Republic of Tanzania.

(4) The Continuous Synopsis Record shall be kept on board the ship and shall be available for inspection at all times.

(5) (a) Any changes relating to the entries referred to in paragraphs (d) to (m) of sub-regulation (3) shall be recorded in the Continuous Synopsis Record so as to provide updated and current information together with the history of the changes.

(b) In case of any changes relating to the entries referred to in paragraph (a), the Registrar of Ships shall issue, as soon as is practically possible but not later than three months from the date of the change, to the ships entitled to fly the United Republic of Tanzania flag either a revised and updated version of the Continuous Synopsis Record or appropriate amendments thereto.

(c) (i) In case of any changes relating to the entries referred to in paragraph (a), the Registrar of Ships, pending the issue of a revised and updated version of the Continuous Synopsis Record, shall authorise and require either the Company or the master of the ship to amend the Continuous Synopsis Record to reflect the changes.

(ii) In such cases, after the Continuous Synopsis Record has been amended the Company shall, without delay, inform the Registrar of Ships accordingly.

(6) (a) The Continuous Synopsis Record shall be in the format developed by the Organization and shall be maintained in accordance with guidelines developed by the Organization. Any previous entries in the Continuous Synopsis Record shall not be modified, deleted or, in any way, erased or defaced.

Transfer of flag by
ship

39. (1) Whenever a ship is transferred to the flag of another State or the ship is sold to another owner (or is taken over by another bareboat charterer) or another Company assumes the responsibility for the operation of the ship, the Continuous Synopsis Record shall be left on board.

(2) When a ship is to be transferred to the flag of another State, the Company shall notify the Registrar of Ships of the name of the State under whose flag the ship is to be transferred so as to enable the Registrar of Ships to forward to that State a copy of the Continuous Synopsis Record covering the period during which the ship was under their jurisdiction.

(3) When a ship is transferred to the flag of another State the Government of which is a Contracting Government, the Registrar of Ships shall transmit to the Administration as soon as possible after the transfer takes place a copy of the relevant Continuous Synopsis Record covering the period during which the ship was under the United Republic of Tanzania jurisdiction together with any Continuous Synopsis Records previous issued to the ship by other States.

(4) When a ship is transferred to the flag of another State, the Registrar of Ships shall append the previous Continuous Synopsis Records to the Continuous Synopsis Record the Registrar of Ships will issue to the ship so as to provide the continuous history record intended by this regulation.

40. It shall be an offence by the Company, in relation to each of its ships, not – Offences and penalties

- (a) to provide to the Registrar of Ships the information required by Regulation 38(3) if requested by the Registrar of Ships;
- (b) to inform the Registrar of Ships of the changes referred to in Regulation 38(5);
- (c) to make the changes in the Continuous Synopsis Record as he is required to make under sub-regulation 38(5)(c);
- (d) to inform the Registrar of Ships as is required by Regulation 38(5)(c)(ii); or
- (e) to ensure the Continuous Synopsis Record is left on the ship and is available for inspection as required by Regulation 38(4), punishable by a fine not exceeding the equivalent in Tanzanian shillings of the United States dollars fifty thousands.

41. It shall be an offence by the master not to –

- (a) make any changes in the Continuous Synopsis Record he is required to make under Regulation 38(5)(c);
- (b) to ensure the Continuous Synopsis Record is left on the ship and is available for inspection as required by sub-regulation (4),

punishable by a fine not exceeding the equivalent in Tanzanian shillings of the United States dollars ten thousands.

Dar es Salaam

M. J. Mwandosya,

Minister for Communications and Transport

EXPLANATORY NOTE

(This note is not Part of the Regulations)

These Regulations, in Part I, implement Chapter XI-2 of the Safety of Life at Sea Convention 1974, 'Special measures to enhance maritime security', which was adopted on 12 December 2002. The Chapter gives legal effect to the International Code for the Security of Ships and of Port Facilities.

The Regulations specify the functions of the Registrar of Ships in respect of Tanzanian ships, and the National Security Agency in respect of port facilities and ships entering them and allow delegation of some these functions.

Ship operators are required to have ship security plans, approved by or on behalf of the Registrar of Ships, and ship security alert systems. Companies operating ships must appoint ship security officers. There are provisions for the verification and certification of ships' security systems and equipment. Ships entering Tanzanian ports are subject to control and compliance measures.

The National Security Agency makes, or approves, a port facility security assessment. A port facility security officer must be appointed, and he must make and ensure the implementation of a port facility security plan.

There are supplementary provisions allowing certain alternative agreements, and equivalent security arrangements.

Enforcement provisions include powers of inspection and requirements to provide information, and ancillary offences.

Part II of the Regulations implements the additional regulation 5 to Chapter XI-1 of the Convention, which requires ships to which the Convention applies to carry a Continuous Synopsis Record, which provides an on-board record of the history of the ship.